

NOV 17 2000

11-027 Rec'd PCT/PTO 17 NOV 2000

FORM PTO-100
(REV 11-98)

DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

9319-000169

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/700836

INTERNATIONAL APPLICATION NO.

PCT/JP00/02031

INTERNATIONAL FILING DATE

30 March 2000 (30.03.00)

PRIORITY DATE CLAIMED

30 March 1999 (30.03.99)

TITLE OF INVENTION ELECTRONIC APPARATUS EXTERNAL ADJUSTMENT DEVICE FOR THE SAME, AND ADJUSTING METHOD FOR THE SAME

APPLICANT(S) FOR DO/EO/US

Takashi KAWAGUCHI and Teruhiko FUJISAWA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

Acknowledgment postcard; Express Mailing Certificate; Express Mail Label No. EL486600345US; PTO-1449 with copies of references cited therein; International Search Report; English Translation of International Search Report; 10 sheets of drawings showing Figs. 1-10; Request for Approval of Drawing Changes with Amended Figs. 6 and 8

U.S. APPLICATION NO. (if known) 37 CFR 1.51

09/770083

INTERNATIONAL APPLICATION NO.
PCT/JP00/02031ATTORNEY'S DOCKET NUMBER
9319-000169

CALCULATIONS PTO USE ONLY

17. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5))**

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$970.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$760.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(c)).

\$ 0.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	16 -20 =	0	X \$18.00
Independent claims	6 -3 =	3	X \$80.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+\$260.00

\$ 0.00

\$ 240.00

\$

TOTAL OF ABOVE CALCULATIONS =

\$ 1,100.00

Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

\$

SUBTOTAL =

\$

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$ 0.00

TOTAL NATIONAL FEE =

\$

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

\$ 40.00

TOTAL FEES ENCLOSED =

\$ 1,140.00

Amount to be:
refunded \$
charged \$

- a. ☒ A check in the amount of \$ 1,140.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO

Intellectual Property Department
Epson Research and Development, Inc.
150 River Oaks Parkway, Suite 225
San Jose, CA 95134
US
(408) 952-6000

SIGNATURE

G. Gregory Schivley

NAME

27,382

REGISTRATION NUMBER

09/700836

532 Rec'd PCT/PTO 17 NOV 2000

HARNES, DICKEY & PIERCE, P.L.C.

ATTORNEYS AND COUNSELORS
P.O. BOX 828
BLOOMFIELD HILLS, MICHIGAN 48303
U.S.A.

TELEPHONE
(248) 641-1600

TELEFACSIMILE
(248) 641-0270

Date: November 17, 2000

Hon. Commissioner of Patents
and Trademarks
Washington, D.C. 20231

Sir:

EXPRESS MAILING CERTIFICATE

Applicant: Takashi KAWAGUCHI et al.

Serial No (if any): To Be Assigned

For: ELECTRONIC APPARATUS EXTERNAL ADJUSTMENT DEVICE FOR
THE SAME, AND ADJUSTING METHOD FOR THE SAME


Docket: 9319-000169

Attorney: G. Gregory Schivley

"Express Mail" Mailing Label Number EL 486 600 345 US

Date of Deposit November 17, 2000

I hereby certify and verify that the accompanying *Check for \$1,140.00; Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371; 58-page patent application; Declaration and Power of Attorney; 10 sheets of drawings; Request for Approval of Drawing Changes with drawing changes noted in red; Cover Sheet for Recordal of Patent (in duplicate) with attached Assignment; Preliminary Amendment; Information Disclosure Statement with HDP-1449 Form; one (1) copy of U.S. patent; and six (6) copies of foreign patents; Internat'l Search Report; English Translation of Internat'l Search Report* are being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 C.F.R. 1.10 on the date indicated above and are addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.



Signature of Person Mailing Document(s)

Attorney Docket No. 9319-000169 letter to

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Draftsman
Rashed
11/1/00

Examiner: Unknown)
Applicant: Takashi KAWAGUCHI et al.)
Filed: herewith) REQUEST FOR
For: ELECTRONIC APPARATUS EXTERNAL) APPROVAL OF DRAWING
ADJUSTMENT DEVICE FOR THE SAME,) CHANGES
AND ADJUSTING METHOD FOR THE SAME)

Hon. Commissioner of Patents & Trademarks
Washington, D.C. 20231

Sir:

The Examiner is respectfully requested to approve the proposed drawing changes highlighted in red on the attached photocopy.

Applicants will submit corrected drawings after receiving a Notice of Allowance for this application, providing that the Examiner approves the proposed change.

Favorable consideration of this request is respectfully requested.

Respectfully submitted,

Date: Nov 17, 2000

By: G. Gregory Schwley
G. Gregory Schwley, Reg. No. 27,382
Bryant E. Wade, Reg. No. 40,344
Attorneys for Applicants

Intellectual Property Department
Epson Research and Development, Inc.
150 River Oaks Parkway, Suite 225
San Jose, CA 95134
(408) 952-6000

GGG/BEW/amo